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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Jim E. Duffy, et al.

Attorney Docket No.: STWKP001

Application No.: 10/626,109

Examiner: To Be Assigned

Filed: July 23, 2003

Group: unknown

Title: CAP TETHER DEVICE

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail to: Commissioner for Patents, Alexandria, Virginia 22313-1450 on September 26, 2003.

Signed

Deborah Neill

INFORMATION DISCLOSURE STATEMENT 37 CFR §§1.56 AND 1.97(b)

Commissioner for Patents Alexandria, VA 22313-1450 Dear Sir:

The references listed in the attached PTO Form 1449 may be material to examination of the above-identified patent application. Applicants submit the list of these references in compliance with their duty of disclosure pursuant to 37 CFR §§1.56 and 1.97. The Examiner is requested to make these references of official record in this application. Pursuant to waiver of 37 CFR 1.98 (a)(2)(i), Applicants submit that copies need not be provided.

This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that these references indeed constitute prior art.

This Information Disclosure Statement is believed to be filed before the mailing date of a first Office Action on the merits. Accordingly, it is believed that no fees are due in connection with the filing of this Information Disclosure Statement. However, if it is determined that any fees are due, the Commissioner is hereby authorized to charge such fees to Deposit Account 500388 (Order No. STWKP001).

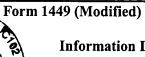
Respectfully submitted,

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Registration No. 36,988

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Information Disclosure Statement By Applicant

(Use Several Sheets if Necessary)

Atty Docket No.

STWKP001 Applicant:

Jim E. Duffy, et al.

Filing Date
July 23, 2003

Application No.:

10/626,109

Group unknown

U.S. Patent Documents

Examiner						Sub-	Filing
Initial	No.	Patent No.	Date	Patentee	Class	class	Date
	A	6,446,265	09/10/02	Moreau, et al.			05/30/01
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Foreign Patent or Published Foreign Patent Application

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Examiner		Document	Publication	Country or		Sub-	Trans	lation
Initial	No.	No.	Date	Patent Office	Class	class	Yes	No

Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
Examiner		Date Considered

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Form 1449 (Modified)

Information Disclosure Statement By Applicant

(Use Several Sheets if Necessary)

Atty Docket No.

STWKP001

Applicant:

Application No.:

10/626,109

Jim E. Duffy, et al.

Filing Date
July 23, 2003

Group unknown

U.S. Patent Documents

Examiner						Sub-	Filing
Initial	No.	Patent No.	Date	Patentee	Class	class	Date
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	R	Des. 371,006	06/25/96	Walker, et al.			04/08/94
	S	Des. 293,043	12/08/87	Zamboni			02/25/85
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Foreign Patent or Published Foreign Patent Application

Examiner		Document	Publication			Sub-	Trans	lation
Initial	No.	No.	Date	Patent Office	Class	class	Yes	No

Other Documents

Examiner				
Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication		
		<u> </u>		
Examiner			Date Considered	
1				

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.